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Carol M. Gruppi From: cgruppi@mdbe.com (650) 849-4800 (650) 849-4902

Pages (including this cover page): 23

January 10, 2001

Subject:

Date:

Message:

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Docket No. 21144-706

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

▶ JAN. 10. 2001 3:10PM

Myles C. Cabot et al.

Assignee:

John Wayne Cancer Institute

Filing Date:

November 12, 1999

Examiner:

J. Zara

Serial No .:

09/439.293

Group Art Unit: 1635

Title:

Methods of Reversing Drug Resistance in Cancer Cells

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Assistant Commissioner for Patents

Washington, D.C. 20231

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CERTIFICATE OF FACSIMILE TRANSMISSION (37 C.F.R. § 1.8(a))

Sir:

I hereby certify that the following papers are being facsimile transmitted to the Patent and Trademark Office (Facsimile No. (703) 305-3592) on the date indicated below:

- Supplemental Information Disclosure Statement (2 pgs). 1.
- Form PTO-1449 (1 pg). 2.
- References cited on Form PTO (18 pgs). 3.

Date: January 10, 2001

Name of Person Certifying:

Carol M. Gruppi

Printed Name:

13/Suppl.

Docket No. 21144-706

Certificate of Mailing/Transmission (37 C.F.R. § 1.8(a)):

[X] Pursuant to 37 C.F.R. § 1.6(d). Thereby certify that this paper and all enclosures are being sent via facsimile on the date indicated below to the attention of Examiner I. Zam at Facsimile No. (703) 305-3592 at ____a.m./p.m.

Dated: January 10, 2001

Name of Person Certifying: Printed Name: Name

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE FAX RECEIVED

Myles C. Cabot et al. Applicant:

Examiner:

John Wayne Cancer
Institute

I Zara

GROUP

1800

Filing Date: Serial No.:

November 12, 1999 09/439,293

Group Art Unit: 1635

OFFICIAL

Title:

Methods of Reversing Drug Resistance in Cancer Cells

Assistant Commissioner for Patents Washington, D.C. 20231

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. § 1.56, the references listed on the attached Form PTO-1449 are being brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application.

I. Timing of the Information Disclosure Statement:

This Supplemental Information Disclosure Statement is filed:

After the first Office Action and more than three months after the application's X filing date; or PCT national stage date of entry filing but, as far as is known to the undersigned, prior to the mailing date of either a final rejection or a notice of allowance, whichever occurs first, and the Commissioner is hereby authorized to charge Deposit Account No. 50-1189 for the fee (\$240) set forth in 37 C.F.R. § 1.17(p) and any additional required fees.

II. Copies of the Cited Items:

Copies of all of the items listed on the attached Form PTO-1449 are enclosed. \boxtimes

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Docket No. 21144-706

III. Concise Explanation of Relevance:

A concise explanation of relevance of the items listed on Form PTO-1449 is not given.

IV. Conclusion:

Citation of the above documents shall not be construed as:

- an admission that the documents are necessarily prior art with respect to the instant invention;
- 2. a representation that a search has been made, other than as described above; or
- an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

It is respectfully requested that the Examiner indicate consideration of the cited references by returning a copy of the attached form PTO 1449 with initials or other appropriate marks.

The Commissioner is hereby authorized to charge Deposit Account No. <u>50-1189</u> Docket No. <u>21144-706</u> for any additional fees required in connection with the filing of this Information Disclosure Statement.

DATE: January 10, 2001

Respectfully submitted,

By: Carol M. Gruppi

Registration No.: 37,341

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